

# SYNTHESIS, CHARACTERIZATION, AND APPLICATION OF $\text{Fe}_3\text{O}_4$ NANOPARTICLES AS A SIGNAL AMPLIFIER ELEMENT IN SURFACE PLASMON RESONANCE BIOSENSING

I Putu Tedy Indrayana<sup>1\*</sup>, Margaretha Tabita Tuny<sup>2</sup>, Rachmad Almi Putra<sup>3</sup>, Juharni<sup>4</sup>,  
Edi Suharyadi<sup>4</sup>, Takeshi Kato<sup>5</sup>, Satoshi Iwata<sup>5</sup>

<sup>1</sup>Physics Study Program, Faculty of Natural Science and Engineering Technology, Universitas Halmahera – INDONESIA

<sup>2</sup>Forestry Study Program, Faculty of Natural Science and Engineering Technology, Universitas Halmahera - INDONESIA

<sup>3</sup>Physics Study Program, Faculty of Engineering, Universitas Samudra – INDONESIA

<sup>4</sup>Department of Physics, Faculty of Mathematics and Natural Science, Universitas Gadjah Mada – INDONESIA

<sup>5</sup>Institute of Materials and Systems for Sustainability, Nagoya University - JAPAN

\*e-mail: tedyindrayana@gmail.com

## ABSTRACT

The  $\text{Fe}_3\text{O}_4$  nanoparticle has potential applications to be active materials for biosensor. This research was aimed at synthesizing, characterizing, and applying  $\text{Fe}_3\text{O}_4$  nanoparticles for signal amplification element in Surface Plasmon Resonance (SPR) biosensing. The synthesis was carried out by using the coprecipitation method at a temperature of  $80^\circ\text{C}$  for 60 minutes. NaOH of 5 molar was used as a precipitating agent. The  $\text{Fe}_3\text{O}_4$  nanoparticles were characterized for their microstructure by using X-Ray Diffraction (XRD) and Transmission Electron Microscopy (TEM) techniques. The crystallinity of nanoparticles is 87% and the crystallite size is 15.70 nm. The shape of  $\text{Fe}_3\text{O}_4$  is nearly spherical. The optical properties were characterized by using Fourier Transform Infrared Spectroscopy (FTIR) and Specular Reflection UV-Vis Spectroscopy (SR UV-Vis). There were vibrations of  $\text{Fe}^{3+}\text{-O}$  and  $\text{Fe}^{2+}\text{-O}$  bonds at characteristic frequencies of  $586.86\text{ cm}^{-1}$  and  $422.64\text{ cm}^{-1}$ . The direct and indirect optical gap energies were 3.43 eV and 3.10 eV. The magnetic properties measured by Vibrating Sample Magnetometer (VSM) confirmed that  $\text{Fe}_3\text{O}_4$  was ferrimagnetic. The  $\text{Fe}_3\text{O}_4$  was successful to be applied as a signal amplifier for SPR-based biosensors due to their optical and magnetic properties. The SPR angle shifted to a higher value and the change was  $3.57^\circ$ .

Keywords:  $\text{Fe}_3\text{O}_4$ ; Iron Sand; Signal Amplifier; SPR Biosensing

## INTRODUCTION

In recent years, the development of biosensor technology has been done rapidly for multiple purposes due to its potential applications in many fields, such as environmental monitoring, healthcare, chemical and biological analysis, and food safety control. For those applications, there are many kinds of a biosensor which have been developed, such as chemical, electrochemical, electro-impedance, magnetic, piezoelectric, optical, and thermal biosensor (Mehrotra, 2016). Due to rapid progress in the synthesis, fabrication, and characterization of the nanomaterials allow the development of nanomaterial integrated biosensor technology nowadays. For instance, Surface Plasmon Resonance (SPR) based biosensor utilizing Graphene- $\text{MoS}_2$  for detection urea (Jamil, et al., 2017); electrochemical biosensor based on gold nanoparticle for detection of polynucleotide kinase assay (Cui, et al., 2018); and nano-hybrid integrated

in electrochemical biosensor for glucose detection (Batool, et al., 2019).

Among a different kind of biosensor, optical biosensor based nanomaterials have been attracted much interest of the researchers due to its advantages, such as high affinity, high selectivity, ease of use, allows to use for detection of multiple analytes in the same time, and allows for conducting a real-time measurement (Antiochia, et al., 2016). One of the most famous optical biosensor based nanomaterials is an SPR based biosensor due to its tremendous applications and ease of use for monitoring bio-specific interaction directly without using labeled molecules (Salazar, et al., 2018). SPR-based biosensor principally works by the resonance between the evanescent wave and the surface plasmon wave while absorbing a fraction of the incident light comes from the light source, i.e. laser. This phenomenon occurs at a specific critical incident angle  $\theta_{sp}$  and a wavelength of light  $\lambda$ . The value of  $\theta_{sp}$  strongly depends on the refractive index of the sensing dielectric medium (Ekariyani, et al.,

2016; Oktivina, et al., 2017; Widayanti, et al., 2018). In this case, the sensing dielectric medium involves the nanomaterials used in the SPR system.

Many types of nanomaterials have been used for that purpose. The three oxide nanomaterials, i.e., TiO<sub>2</sub>, SiO<sub>2</sub>, and SnO<sub>2</sub> have been used to be a cover for the SPR active metallic layer to increase the sensitivity of the sensor (Singh, et al., 2013). Experimentally, (Ekariyani, et al., 2016; Oktivina, et al., 2017) had used Fe<sub>3</sub>O<sub>4</sub> nanoparticles as an active material for increasing the biosensor response. In 2018 (Widayanti, et al., 2018) had conducted a computational study on the use of the core-shell structure of Fe<sub>3</sub>O<sub>4</sub>-Au as an active material for enhancing the sensitivity of the sensor.

Since a century, the Fe<sub>3</sub>O<sub>4</sub> is still being an attractive material due to its super-astonishing physicochemical properties which enable the Fe<sub>3</sub>O<sub>4</sub> to be applied in many fields of technologies. The properties include surface charge density, surface area, porosity, functional groups, and crystallite size (Tareq, et al., 2019). For this reason, here we present an experimental study about the application of the Fe<sub>3</sub>O<sub>4</sub> nanoparticles as an element for signal amplification of SPR-biosensing. We have been done some optimization of the synthesis and characterization of the Fe<sub>3</sub>O<sub>4</sub> nanoparticles from local iron sand in our research group. In this paper, we provide a detail explanation about the relation between physical properties (except magnetic properties) of the Fe<sub>3</sub>O<sub>4</sub> nanoparticles and its role in the biosensing process.

## METHODS AND MATERIALS

### Raw Materials Synthesis

The source of Fe used in this research is local iron sand which was taken from Wari Ino Beach, Halmahera Utara. The iron sand was prepared through a purification process for removing impurities. HCl (Mark Sigma-Aldrich) solution of 37% (12M) was used to dissolve the iron sand for the formation of FeCl<sub>2</sub> and FeCl<sub>3</sub> solutions. The coprecipitate was NaOH (Merck Emsure) purchased from LPPT UGM, Yogyakarta. The coprecipitate was used for the formation of metal hydroxide compounds, such as Fe(OH)<sub>3</sub> and Fe(OH)<sub>2</sub> in the coprecipitation process.

### Synthesis of the Fe<sub>3</sub>O<sub>4</sub> Nanoparticles

Synthesis of the Fe<sub>3</sub>O<sub>4</sub> nanoparticles was started by preparing Fe solution. For this purpose, 25 grams of purified iron sand was dissolved by using 30 ml HCl (12M). The mixture was stirred constantly while heated up to 70°C. The temperature of the mixture was kept constant for

about 30 minutes. The yellowish dark solution was obtained after the stirring process. This solution was filtered by using Whatman paper.

The synthesis of the Fe<sub>3</sub>O<sub>4</sub> nanoparticles was carried out by using the coprecipitation method at a temperature of 80°C for 60 minutes. The concentration of NaOH solution was 5M within 75 ml distilled water. First of all, the NaOH solution was heated up to 80°C. At this temperature, the Fe solution of 20 ml was dropped wisely using a glass pipette to the NaOH solution while stirring at a constant rate of 500 rpm. In this stage, the constant dropping technique is important because the rate of dropping Fe solution will determine the rate of nucleation of the Fe<sub>3</sub>O<sub>4</sub> and influence the size, further their distribution. During synthesis, a black solution will be obtained. This would be the first indicator of the success of the synthesis. After 60 minutes, the temperature of the solution was dropped down naturally until it reaches room temperature. During this stage, the solution was placed on the magnetic table (Merk Kanetsu) of 10 kOe to trigger a separation between the slurry of the Fe<sub>3</sub>O<sub>4</sub> and the solvent. If the synthesis was a success, so the slurry will be separated quickly with its solvent. A clear solvent will be provided and black slurry will precipitate at the bottom of the glass. The slurry was rinsed by using distilled water of 200 ml in volume 5 times to ensure that the impurities had removed.

The black slurry can be tested by using an external magnetic bar. If the slurry is a magnetic than the slurry will be attracted by the magnetic field induced by a bar magnet. The slurry within the petri disk was heated up in an oven at a constant temperature of 100°C for 4 hours. After heating, black chips of nanoparticles will be obtained and they have to be crushed by using mortar and pestle to obtain black powder Fe<sub>3</sub>O<sub>4</sub>. The powder might also be reddish-brown which indicates that the sample not totally in the Fe<sub>3</sub>O<sub>4</sub> phase. It might be composed of some oxidation phases of Fe<sub>3</sub>O<sub>4</sub>.

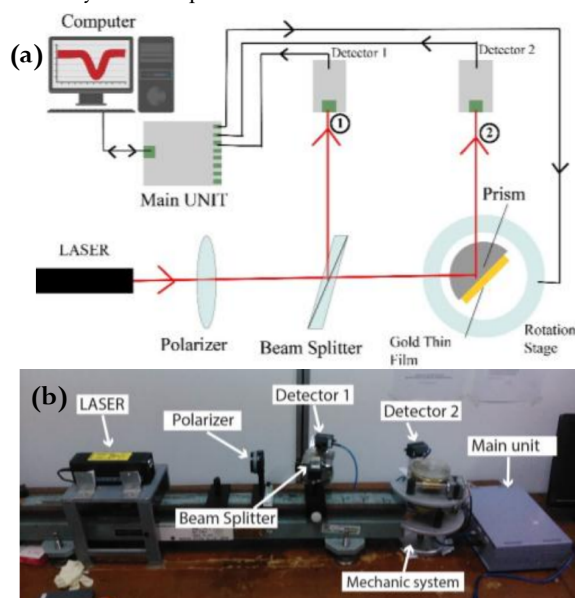
### Sample Characterizations

The sample of Fe<sub>3</sub>O<sub>4</sub> was characterized by its crystal structure and microstructural parameters by using X-Ray Diffraction Technique (XRD) (Diffractometer Merk PANanalytical-Expert Pro) with scan range 2θ of 20° – 80°, scan step of 0.002°, and in reflection mode using Cu-Kα1 radiation. Grain morphology and the electron diffraction pattern of the sample were characterized by using Transmission Electron Microscopy (TEM) (Merk JEOL JEM-1400) coupled with Electron Diffraction

Microscopy with a voltage of 120V. The vibration spectra of the functional groups were recorded by using Fourier Transform Infrared Spectroscopy (FTIR) (Merk Paragon 1000PC Perkin Elmer) with a wavenumber range of  $450\text{ cm}^{-1} - 4000\text{ cm}^{-1}$ . The absorbance spectra of the sample were recorded by using UV-Vis Spectroscopy (Merk Pharmaspec UV-1700) with a wavelength of  $200\text{ nm} - 800\text{ nm}$ . The magnetic hysteresis curve was recorded by using Vibrating Sample Magnetometer (Merk Riken Denshi Co Ltd.). The external magnetic field used for this measurement was in the range of  $(-15)\text{ kOe} - (+15)\text{ kOe}$ . The measurement was conducted at room temperature.

### Preparation and SPR Measurement

Preparation, in this case, was conducted for preparing gold thin film which deposited on the surface of the prism. For this purpose, this research used BK7 type prism in the form of a hemicylindrical prism.



**Figure 1.** Instrumentation set-up of SPR-based biosensor: (a) block diagram of the instrument and (b) real set-up of the instrument (Laksono, et al., 2018)

First of all, the TIR (Total Internal Reflection) curve of the prism (Prism/Air system) was recorded by using SPR based biosensor instrument (see Fig.1 for instrumentation set-up). The instrument consisted of He-Ne laser (OSK Ogawa Seiki Co Ltd) of a wavelength of  $632.8\text{ nm}$  and power of  $3\text{ mW}$ , a polarizer, a beam splitter, and two light detectors. According to the TIR curve (reflectivity v.s incident angle of light), the critical angle of the prism ( $\theta_c$ ) can be measured and

then its refractive index  $n_p$  can be calculated by using Equation (1):

$$n_p = \frac{1}{\sin\theta_c} \quad (1)$$

Secondly, deposition of  $15\text{ mg}$  gold (Merk Fine Gold-Au Antam 999.9) on the flat surface of the hemicylindrical prism was carried out by using a thermal evaporation method. A thin layered gold, in this case, has a role to be a conductive layer for sensing. Thirdly, the ATR (Attenuated Total Reflection) curve for this system (Prism/Au/Air) was recorded with incident angles in a range of  $30.00^\circ - 60.00^\circ$  (accuracy of  $\theta = \pm 0.01^\circ$ ).

Thirdly, the preparation of the  $\text{Fe}_3\text{O}_4$  solution was carried out by dissolving  $60\text{ mg}$   $\text{Fe}_3\text{O}_4$  powder in  $5\text{ ml}$  ethanol. The solution was homogenized in an ultrasonic chamber for about  $14$  minutes to make sure that the  $\text{Fe}_3\text{O}_4$  powder dissolved by the ethanol. This solution was then deposited on the gold layer by using a spray method for about  $3$  times. The last, the ATR curve of this system (Prism/Au/ $\text{Fe}_3\text{O}_4$ /Air) was recorded with incident angles in a range of  $30.00^\circ - 60.00^\circ$ .

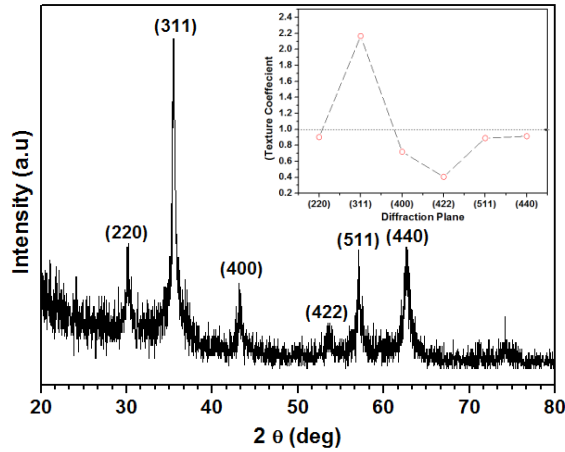
## RESULTS AND DISCUSSIONS

### Microstructural Properties

The crystal structure and microstructural parameters of the  $\text{Fe}_3\text{O}_4$  nanoparticles were justified from the XRD pattern obtained from the characterization (see Fig. 2). The XRD pattern of sample shows six diffraction peaks at the diffraction angles  $2\theta$  of  $30.21^\circ$ ;  $35.47^\circ$ ;  $43.13^\circ$ ;  $53.45^\circ$ ;  $57.03^\circ$ ; and  $62.63^\circ$  which refer to the diffraction peaks of (220); (311); (400); (422); (511); and (440), consecutively. Those are the characteristic diffraction peaks belong to the  $\text{Fe}_3\text{O}_4$  phase based on the standard diffraction data JCPDS (Joint Committee for Powder Diffraction Set) card no 11.0614. The diffraction pattern of  $\text{Fe}_3\text{O}_4$  is also in agreement with the result reported by (Malega, et al., 2018) which indicates that the sample was a polycrystalline material in nature. The crystallinity of the sample was up to  $87.33\%$ .

The diffraction peaks were high and sharp indicate that the sample much crystalline in nature. Noises occurring in the diffraction pattern were mainly affected by the filter material within the instrument. It also described the diffraction pattern of nano-sized particles. Moreover, the highest intensity of the diffraction peak belongs to a peak of (311) which indicates that the largest preferential growth direction of the crystallite to [311]. Quantitatively, a comparison of the preferential growth direction of the crystallite  $\text{Fe}_3\text{O}_4$  can be

justified based on the texture coefficient ( $TC$ ) value which is displayed in the index. The  $TC$  was calculated by using equation provided by (Hafez, et al., 2014). In short, the lack of crystallite orients to the direction of [220]; [400]; [511]; [440]; and further [422].



**Figure 2.** XRD pattern of  $Fe_3O_4$  nanoparticles. (Index: plot of texture coefficient for each diffraction peak)

Single phase  $Fe_3O_4$  nanoparticles with cubic crystal structure have been obtained in the sample with no other impurities. The sample crystallize is in the space group of  $Fd\bar{3}m$ . Due to the occupancy of the octahedral and tetrahedral sublattice by  $Fe^{2+}$  and  $Fe^{3+}$  cations so the  $Fe_3O_4$  nanoparticle has an inverse spinel structure within the cubic closed-pack structure. This is supported by the appearance of the diffraction peaks of (220), (400), and (422). Those reflection planes are known very sensitive to cation distribution of ferrite within their spinel structure (Heiba, et al., 2016). The peak of (220) depends on cations occupying the tetrahedral sublattice, while peaks of (400) and (422) depend on cations occupying the octahedral sublattice (Huili, et al., 2014).

The values of microstructural parameters of the  $Fe_3O_4$  nanoparticles are tabulated completely in Table 1. Regarding the crystal structure, the lattice parameter of the  $Fe_3O_4$  was obtained 8.3805 Å. This value is shorter than that provided in JCPDS No 11-0614, but it is longer than the lattice parameter reported by (Malega, et al., 2018). In the case of the crystal structure, a line broadening occurred on the diffraction peak indicates the existence of microstrain in the unit cell along the direction of [311]. The value was calculated to be  $2.183 \cdot 10^3$ /lines and might be affected by factors, i.e., no perfect nucleation of the crystal seed and

cation distribution due to cationic position within the unit cell of the crystal.

The imperfect crystal structure owned by  $Fe_3O_4$  nanoparticles was also indicated by dislocation density. Its value was  $4.056 \cdot 10^{-3} \text{ nm}^{-2}$  along the direction of [311]. Moreover, the crystal density of the  $Fe_3O_4$  nanoparticles was 5.235  $\text{gram/cm}^3$  in agreement to the result reported by (Malega, et al., 2018).

The crystallite size of nanoparticles was calculated by using Scherer's equation provided in (Mote, et al., 2013). The crystallite size of the  $Fe_3O_4$  nanoparticle was 15.70 nm in agreement with the result reported by (Salviano, et al., 2018) and smaller than that reported by (Malega, et al., 2018). A small crystallite size allows the  $Fe_3O_4$  having unique physicochemical properties, such as soft magnetic, higher bandgap energy, and larger specific surface area. By using Equation (2), the specific surface area of the  $Fe_3O_4$  was calculated to be  $73.00 \cdot 10^4 \text{ cm}^2/\text{gram}$ ,

$$S_A = \frac{6}{\rho \cdot t} \quad (2)$$

where  $S_A$  is the specific surface area ( $\text{cm}^2/\text{gram}$ ),  $\rho$  refers to the crystal density of the  $Fe_3O_4$  ( $\text{gram/cm}^3$ ), and  $t$  is the crystallite size (cm) (Dhas, et al., 2015). A larger specific area of the nanoparticle is due to a smaller crystallite size. Larger specific surface area is very advantageous for the chemical reaction on the surface of the nanoparticles. The rate of the chemical reaction can be tuned by increasing the surface area of the nanoparticles.

**Table 1.** Microstructural parameters of  $Fe_3O_4$ .

No.	Microstructural Parameters	Value
1.	Crystallinity (%)	87.33
2.	Lattice parameter $a$ (Å)	8.3805
3.	Microstrain $\varepsilon$ (311) plane ( $\cdot 10^{-3}$ )	2.183
4.	Dislocation density $\delta$ (311) plane ( $\cdot 10^{-3} \text{ nm}^{-2}$ )	4.056
5.	X-Ray density $\rho_x$ ( $\text{gram/cm}^3$ )	5.235
6.	Crystallite size $t$ (nm)	15.70
7.	Specific surface area $S_A$ ( $\cdot 10^4 \text{ cm}^2/\text{gram}$ )	73.00

### Morphology and Electron Diffraction

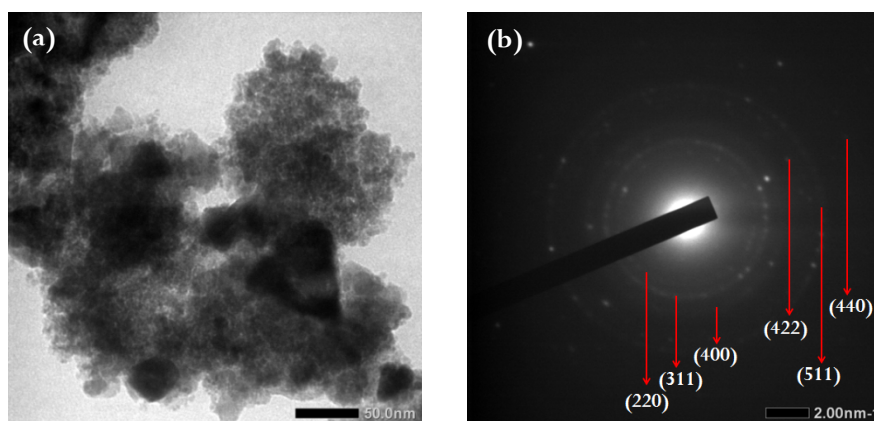
The profile morphology of the nanoparticles was recorded by using TEM and the micrograph can be displayed such as Fig.3a. The micrograph tells that the formation of agglomerated nanoparticles

with variation in the grain size due to no perfect nucleation occurred during the synthesis. The grain size of the nanoparticles was in the range of 10 – 40 nm due to their broad distribution. This result is in agreement with the crystallite size obtained from XRD analysis. The grains were spherical-like in shape.

Correspondingly, agglomeration experienced by the nanoparticles is affected by an absence of surfactant which disperses the grain of nanoparticles. Naturally, a sample of  $\text{Fe}_3\text{O}_4$  is a magnetic material in nature so that strong magnetic interaction between grains drives the agglomeration. In the presence of surfactant, the magnetic interaction between grains might be decreased due to decreasing surface tension of the nanoparticles caused by the nonmagnetic property of the surfactant. In the case of its application for biosensor, the nanoparticles have to be polydisperse indeed monodispersing. Some technical efforts have been done by researchers for improving the dispersibility of the nanoparticles, such as encapsulation of  $\text{Fe}_3\text{O}_4$  by using polymer (Wang, et

al., 2010); sparking the iron salts solution during synthesis by using  $\text{N}_2$  gas (Ramadan, et al., 2011; Tajabadi & Khosroshahi, 2012); addition of ultrasonic radiation during synthesis of the  $\text{Fe}_3\text{O}_4$  nanoparticles (Rahmawati, et al., 2018); and addition heat treatment, i.e., calcination, annealing, further sintering after the synthesis.

The electron diffraction profile owed by the  $\text{Fe}_3\text{O}_4$  nanoparticles can be displayed by Fig.3b. This selected area of the electron diffraction (SAED) image shows that the appearance discrete diffraction rings, exactly in the form of spotted-ring with different intensity. This pattern indicated that a sample of the  $\text{Fe}_3\text{O}_4$  nanoparticles is polycrystalline material in nature. The rings can be identified as the diffraction by electron on the reflection planes of (220); (311); (400); (422); (511); and (440). The intensity of electron diffraction by reflection plane (311) is the largest which indicates that the density of electron along this direction is the largest. This evidence coincides with the result of the XRD analysis.



**Figure 3.** (a) TEM micrograph and (b) electron diffraction profile (SAED image) of  $\text{Fe}_3\text{O}_4$ .

### Functional Groups Vibration Spectra

The functional group's vibration spectra of the  $\text{Fe}_3\text{O}_4$  nanoparticles can be displayed by Fig.4. According to the spectra, two intense absorption peaks were investigated in a wavenumber range of  $400\text{ cm}^{-1} - 700\text{ cm}^{-1}$ . Those are the stretching vibrations by  $\text{Fe}^{2+}\text{-O}$  bond ( $422.64\text{ cm}^{-1}$ ) and  $\text{Fe}^{3+}\text{-O}$  bond ( $586.86\text{ cm}^{-1}$ ) in the octahedral and tetrahedral sublattice, consecutively. This fact supported that a successful formation of the  $\text{Fe}_3\text{O}_4$  phase occurs in this synthesis.

The absorption peaks in the region of  $1300\text{ cm}^{-1} - 1650\text{ cm}^{-1}$  were associated with the vibration O-H bending ( $1370\text{ cm}^{-1}$  and  $1633.78\text{ cm}^{-1}$ ). Hence, the most intense absorption occurs in the region of  $2900\text{ cm}^{-1} - 3800\text{ cm}^{-1}$  was assigned as O-H

stretching vibration ( $3437.06\text{ cm}^{-1}$ ). The existence of those functional groups indicated that the  $\text{Fe}_3\text{O}_4$  nanoparticles still bind with water molecules on their surface. Naturally, the  $\text{Fe}_3\text{O}_4$  nanoparticles are hygroscopic; therefore they are easy to interact with a water molecule and the oxidation as its consequence. A weak absorption peak at a wavenumber of  $2371.20\text{ cm}^{-1}$  was assigned as an asymmetric stretching vibration of the C-H bond due to binding between the  $\text{Fe}_3\text{O}_4$  nanoparticles and  $\text{CO}_2$  free molecules in the atmosphere.

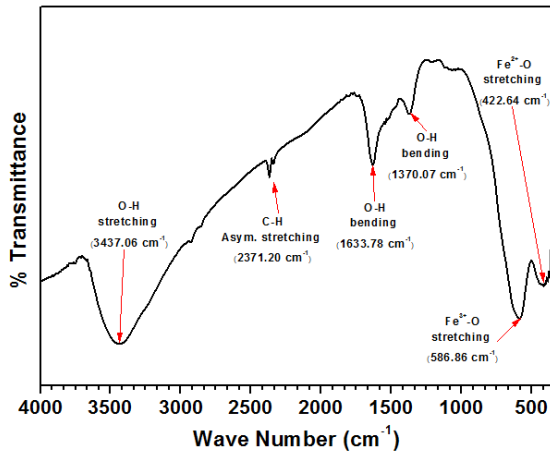


Figure 4. The FTIR spectra of  $\text{Fe}_3\text{O}_4$  nanoparticles.

### Magnetic Properties

The magnetic hysteresis curve (see Fig.5) of the  $\text{Fe}_3\text{O}_4$  was recorded at room temperature ( $\approx 300\text{K}$ ). By applying an external magnetic field of 14.677 kOe, so the maximum specific magnetization was 27.73 emu/gram and the specific remanence magnetization was 5.29 emu/gram. Accordingly, the sample of nanoparticles was soft-ferrimagnetic in nature with a small coercivity of 94.29 Oe. Due to broad size distribution, the nanoparticle has no superparamagnetic properties. Some papers have been reported that the  $\text{Fe}_3\text{O}_4$  can perform superparamagnetic property at room temperature (Ramadan, et al., 2011; Chaki, et al., 2015; Salviano, et al., 2018). Mainly, the magnetic properties of the nanoparticles are affected by many factors, i.e., crystal structure, cation distribution of the nanoparticles, impurities, and crystallite size with their distribution. Due to a quantum confinement effect at the nano-scale, therefore, the magnetic properties performed by the  $\text{Fe}_3\text{O}_4$  nanoparticles strongly correlated to their crystallite size.

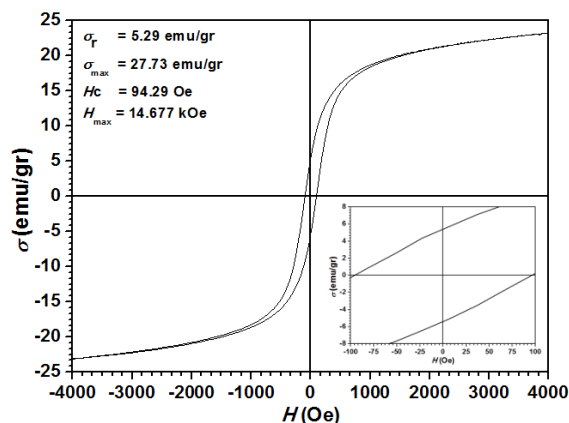


Figure 5. The hysteresis curve of  $\text{Fe}_3\text{O}_4$ .

### Optical Properties

The optical properties of the  $\text{Fe}_3\text{O}_4$  can be studied according to the absorbance and reflectance spectra versus photon wavelength. That spectrum can be displayed in Fig.6. There was a maximum absorbance peak at a wavelength of 332.76 nm that attributed by the maximum absorption of photon energy by electron while exciting from the highest state of the conduction band to the lowest state of the valence band. A broad absorption peak justifies that interaction phenomena occurred between photons and the nanoparticles with different in size.

Accordingly, the specular reflectance of the  $\text{Fe}_3\text{O}_4$  occurred in a wavelength region of 200 nm – 800 nm was not uniform even zero. This was caused by a sample of the  $\text{Fe}_3\text{O}_4$  optically not transparent due to the morphological structure of the  $\text{Fe}_3\text{O}_4$ . The reflectance values were varies with photon wavelength. In a shorter wavelength, there is a small plateau and then it drops down until finding a peak at a wavelength of 315.22 nm. Another small plateau was also observed at a longer wavelength region ( $\lambda_{\text{photon}} > 750 \text{ nm}$ ). The reflectance feature of the  $\text{Fe}_3\text{O}_4$  is the characteristic of polycrystalline material due to no uniform radiant power of photon in the reflection plane. In short, the collected incident photons might be restricted by the reflection direction of the crystal planes.

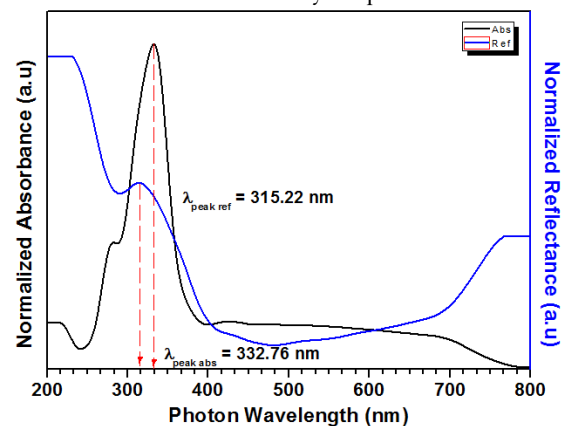
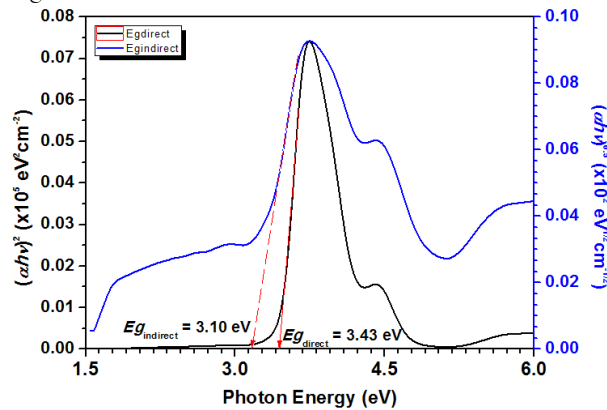


Figure 6. Absorbance and reflectance spectra of  $\text{Fe}_3\text{O}_4$  as a function of photon wavelength.

Quantitatively, the optical properties of the  $\text{Fe}_3\text{O}_4$  nanoparticles can also be studied regarding the values of optical gap energy. In this case, by considering the excitation process of an electron from the conduction band to the valence band, so we analyzed the optical band gap energy into two types, i.e., direct and indirect optical gap energies. Direct optical gap energy is measured when electron excited from the highest state of the conduction band to the lowest state of the valence band in a

corresponding  $k$  (crystal momentum) value. On the other hand, the indirect optical gap energy is measured when electron excited from the highest state of the conduction band to the lowest state of valence band with different  $k$  values. Therefore, during this excitation electron get an interaction with phonon for jumping into a targeted position in the valence band. Both direct and indirect optical gap energies of the  $\text{Fe}_3\text{O}_4$  nanoparticles were calculated by using Tauch's method as explained in detail in (Ghandoor, et al., 2012; Abd, et al., 2016; Indrayana, et al., 2019). Tauch's plot is displayed in Fig. 7.



**Figure 7.** Tauch's plot of  $\text{Fe}_3\text{O}_4$  for determining direct and indirect optical gap energy.

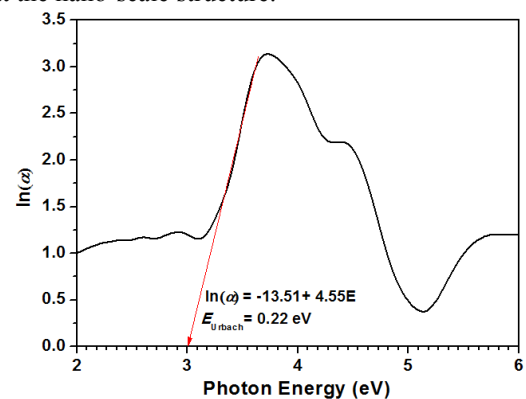
The direct optical gap energy is larger than the indirect optical gap energy due to nanoparticles in nature. Another reason came from the nature of mathematical relation of the Tauch' equation at which order  $n = 2$  fits for lower photon energy and  $n = 1/2$  fits for higher photon energy. The optical gap energies obtained in this research were larger than that reported by (Ghandoor, et al., 2012; Abd, et al., 2016) due to a different in crystallite size. Larger crystallite size provides smaller optical gap energy. Accordingly, the  $\text{Fe}_3\text{O}_4$  nanoparticles can be classified into p-type semiconductor materials in case their optical gap energy smaller than 3 eV. The semiconductor material is a material where their bandgap containing Fermi level narrower than 3 eV. Hence, increasing the optical band gap will allow the material change to be an insulator.

The dielectric material is typically an insulator with a bandgap value bigger than 3 eV (Bain & Chand, 2017). Increasing the optical gap energy of the nanoparticles tends to decrease their dielectric loss (loss tangent –  $\tan\delta$ ) due to a lowering of electron's tunneling probability to the conduction band from the valence band (Sati, et al., 2019). Hence, larger optical gap energy provides the stronger dielectric property of the  $\text{Fe}_3\text{O}_4$ . Therefore, the  $\text{Fe}_3\text{O}_4$  nanoparticles are very

suitable to be applied as active material in SPR-based biosensors. In case, the active material in SPR-based biosensor has to be a dielectric in nature.

The tail of the absorption edge in the electronic structure of the  $\text{Fe}_3\text{O}_4$  might be experienced by the electronic structure of the  $\text{Fe}_3\text{O}_4$  due to microstrain and defect occurring within the structure. As earlier discussion that microstrain can be induced by temperature, pressure, cation displacement, further impurities within the sample. Hence, the wideband tail of the absorption edge at the localized state can be assigned as Urbach energy. The Urbach energy refers to an electronic disorder near the band edge. The disorder due to a random distribution of defect in the crystal structure of the nanoparticles and onsite potential fluctuation (Sati, et al., 2019).

The Urbach energy can be calculated by extrapolation of the linear portion of the curve between natural logarithmic of absorption coefficient  $\alpha$  and photon energy  $h\nu$  as explained in detail by (Ghandoor, et al., 2012). The curve can be displayed in Fig.8. The Urbach energy of the  $\text{Fe}_3\text{O}_4$  nanoparticle was 0.22 eV. This value smaller compared to the energy reported by (Ghandoor, et al., 2012). Principally, this energy is strongly affected by the crystallite size of the  $\text{Fe}_3\text{O}_4$  nanoparticles due to a quantum confinement effect at the nano-scale structure.



**Figure 8.** Urbach energy of  $\text{Fe}_3\text{O}_4$  nanoparticles.

### Application on SPR Based Biosensor

The application of the  $\text{Fe}_3\text{O}_4$  nanoparticles for SPR-based biosensor was performed out by applying a conventional prism-based Kretschmann configuration. First of all, the BK7 hemicylindrical prisms were recorded for its TIR curve to determine the critical angle  $\theta_c$  and its refractive index  $n_p$ . The TIR curve of the prism is displayed by Fig.9. The critical incident angle of the prism was  $43.85^\circ$  and the calculated refractive index was 1.44.

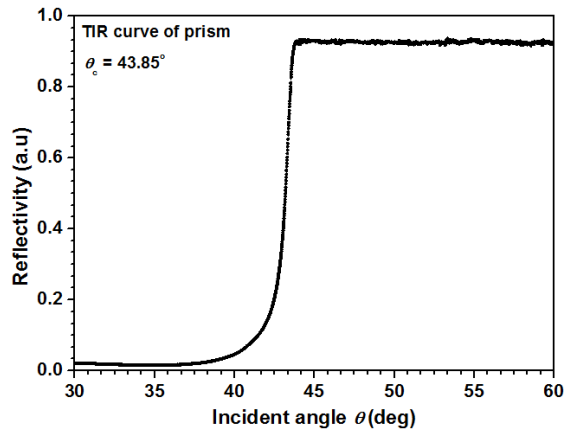


Figure 9. Total internal reflection curve of prism.

The Kretschmann configuration of this measurement used four layers system, such as prisms/Au/Fe<sub>3</sub>O<sub>4</sub>/Air. Pictorially, it can be figured out by Fig. 10. The Au thin layer was deposited on the flat surface of the prism by using the evaporation method. The biosensor performance was performed by attenuated total reflection (ATR) curve as displayed in Fig. 11.

According to Fig. 11, the reflectivity experiences attenuation in the region after the critical angle. First, the critical angle of the ATR curve after the addition of the Au layer was 44.24° and then it shifted to an angle of 44.71°. The reflectivity was attenuated. This phenomenon occurs when the intensity of the reflected light is attenuated and the conduction electrons of Au absorb incident photon energy. At this time, the surface plasmon resonance wave was generated at the interface between the Au surface and the dielectric medium. The dielectric medium, in this case, is the Fe<sub>3</sub>O<sub>4</sub>. The energy is transferred from photon to the conduction electrons in a metal. This affects the reducing intensity of the reflected light in the region of resonance wavelength.

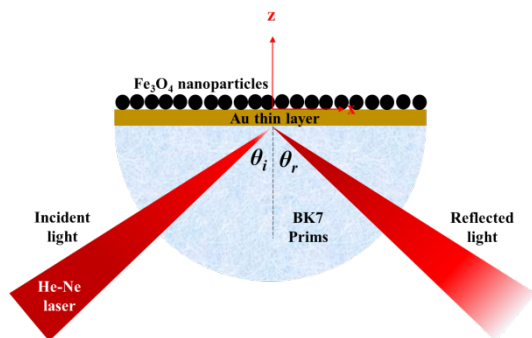


Figure 10. Kretschmann SPR configuration.

According to Fig. 11, dip at the lowest reflectance intensity of the ATR curve was shifted to a larger incident angle by 3.57°. The intensity also

changed to a smaller value. This phenomenon can be explained with a basic addition of the Fe<sub>3</sub>O<sub>4</sub> nanoparticles onto the surface of the Au layer. A change in the SPR angle is due to a change in the refractive index of the medium near the metal surface (Au surface). By the addition of the Fe<sub>3</sub>O<sub>4</sub> nanoparticles so the refractive index of the medium will increase. Therefore, a larger signal of the SPR will be obtained with a small change in the refractive index of the medium (Antiochia, et al., 2016). These results are consistent with the research reported by (Oktivina, et al., 2017) which the SPR-angle shifted to 1° for a concentration of Fe<sub>3</sub>O<sub>4</sub> of 11 mg/ml. The sensitivity of the biosensor was reported to be 0.093°±0.004° for every change of 1 mg/ml Fe<sub>3</sub>O<sub>4</sub> nanoparticles' concentration. Moreover, Fe<sub>3</sub>O<sub>4</sub> can be a signal amplifier for the SPR-based biosensor.

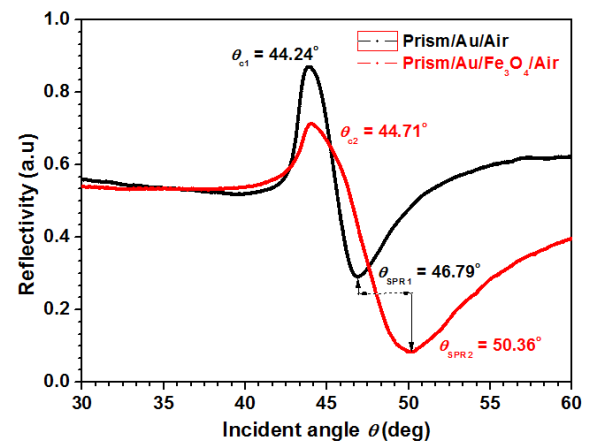


Figure 11. The ATR curves of SPR biosensor.

The significance of the Fe<sub>3</sub>O<sub>4</sub> nanoparticles for this application was affected by the crystallinity, size distribution, morphology, and optical properties. Hence, a smaller crystallite size of the Fe<sub>3</sub>O<sub>4</sub> nanoparticles will provide a larger specific surface area in which is very significant influence the process of signal amplification of the biosensor. The larger surface area of the Fe<sub>3</sub>O<sub>4</sub> nanoparticles allows increasing the bonding surface between the analyte and the nanoparticles. The magnetic properties of the Fe<sub>3</sub>O<sub>4</sub> principally influence the performance of biosensor. Unfortunately, in this research, we did not cover any explanation about the effect of the magnetic properties due to an absence of the external magnetic field, applied to the biosensor. Further, we didn't report yet about a specific analyte to be tested by using this biosensor. Therefore, further research is possible to be carried out for detecting any kind of analyte concerning the specific issue being discussed, for instance, urease,



creatinine, glucose, or any compound in the human urine. This is concerning the issue of kidney failure.

### CONCLUSIONS AND SUGGESTIONS

The  $\text{Fe}_3\text{O}_4$  sample nanoparticles have been successfully synthesized by using the coprecipitation method at a temperature of  $80^\circ\text{C}$  with 5M NaOH and without the addition of heat treatment after synthesis. The nanoparticles have a crystallite size of 15.70 nm and a specific surface area of  $73.00 \cdot 10^4 \text{ cm}^2/\text{gram}$ . Both electron diffraction and the vibration spectra have justified the formation of single-phase  $\text{Fe}_3\text{O}_4$  nanoparticle with a soft-ferrimagnetic property at room temperature. The physicochemical properties of the  $\text{Fe}_3\text{O}_4$  nanoparticle were strongly affected by the microstructural parameters. Moreover, the  $\text{Fe}_3\text{O}_4$  nanoparticles have been proved to amplify the SPR signal of the biosensor.

Some drawbacks of this research have been discussed in detail. Firstly, further researches have to be conducted by significantly control the microstructure of the  $\text{Fe}_3\text{O}_4$  by some efforts, i.e., controlling synthesis parameters, adding a surfactant, and further adding heat treatment for obtaining well-microstructural properties. A worse microstructural property will influence its significance for any applications, mainly in the field of SPR-based biosensor. Secondly, the biosensor with  $\text{Fe}_3\text{O}_4$  nanoparticles can be carried out to detect the appearance of an analyte concerning the special issue being overcome. For instance, an issue of kidney failure gives a possibility to take such urease, creatinine, and glucose as samples of the analyte to be sensed.

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